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HDP/SB/21 based on PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|---------------------|
| Application Number | 10/785,067 |
| Filing Date | February 25, 2004 |
| Inventor(s) | Eun Joo Jang et al. |
| Group Art Unit | 2822 |
| Examiner Name | Christy L. Novacek |
| Attorney Docket Number | 6661-000039/US |

ENCLOSURES (check all that apply)

| | | | | | |
|---|--|--|---------|----------------------------|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Letter to the Official Draftsperson and _____ Sheets of Formal Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> LETTER SUBMITTING APPEAL BRIEF AND APPEAL BRIEF (w/clean version of pending claims) <input type="checkbox"/> Appeal Communication to Group (Notice of Appeal, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): | | | |
| <table><tr><td>Remarks</td><td rowspan="2">Mail Stop Amendment</td></tr><tr><td></td></tr></table> | | | Remarks | Mail Stop Amendment | |
| Remarks | Mail Stop Amendment | | | | |
| | | | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | | | |
|-------------------------|----------------------------------|---------------|--------------------|----------|--------|
| Firm or Individual name | Harness, Dickey & Pierce, P.L.C. | Attorney Name | John A. Castellano | Reg. No. | 35,094 |
| Signature | | | | | |
| Date | November 20, 2006 | | | | |



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/785,067 Group Art Unit: 2822
Filing Date: February 25, 2004 Examiner: Christy L. Novacek
Applicant: Eun Joo Jang et al.
Title: THE IMPROVEMENT OF THE LUMINESCENT
EFFICIENCY OF SEMICONDUCTOR NANOCRYSTAL
COMPOUNDS BY SURFACE TREATMENT
Attorney Docket: 6661-000039/US

Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314
Mail Stop Amendment

November 20, 2006

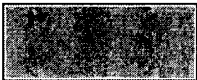
AMENDMENT UNDER 35 U.S.C. §1.111

Madam:

In response to the Office Action mailed August 24, 2006, the following amendments and remarks are respectfully submitted in connection with the above-identified application.

Listing of the Claims begin on page 2 of this Amendment.

Remarks begin on page 5 of this Amendment.

|  | Claims remaining after Amendment | | Highest number previously paid for | | Present extra |
|---|-------------------------------------|---|---------------------------------------|---|---------------|
| Total | 18 | - | 20 | = | 0 |
| Independent | 2 | - | 3 | = | 0 |